

00862.003155

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

for re Application of:) Eveninen Johnny Ma
Masamichi ITO, et al.	: Examiner: Johnny Ma
Appln. No.: 09/451,870	: Group Art Unit: 2614
Filed: December 1, 1999	:
For: RECEIVING APPARATUS AND METHOD) : May 26, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FOURTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are enclosed.

REMARKS

The following documents were cited in a Japanese Office Action of a foreign counterpart application (Japanese Patent Application No. 11-007035) corresponding to U.S. Patent No. 6,377,309, which is also cited herein:

- (1) U.S. Patent No. 5,699,472;
- (2) U.S. Patent No. 6,535,530 B1;
- (3) Alexander Erk, CustomTV Service and System Requirements;

- (4) JP 8-149466;
- (5) JP 8-241068;
- (6) JP 8-256322;
- (7) JP 11-98193;
- (8) JP 6-225219;
- (9) JP 7-203433; and
- (10) JP 6-98290.

English-language Abstracts for each cited Japanese document are also enclosed.

Applicants respectfully note that Document (2) was cited in the July 8, 2004 Form PTO-892, and therefore is not listed on the Form PTO-1449. Applicants respectfully submit that JP 8-256322 and JP 11-98193 correspond to U.S. Patent Nos. 5,699,472 and 6,535,530 B1, respectively. Also enclosed is a partial English translation of JP 8-241068. The Japanese Office Action is dated March 1, 2005. A copy of the Japanese Office Action is enclosed.

FORMAL MATTERS

No fee is believed due; however, any fee required in connection with this paper should be charged to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants Daniel S. Glueck Registration No. 37,838

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3800 Facsimile: (212) 218-2200

DSG/cmg

FORM PTO 1449 (modified)	ATTY DOCKET NO. APPLICATION NO.	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	00862.003155	09/451,870
LIST OF REFERENCES CITED BY APPLICATION (3) P	APPLICANT Masamichi	ITO, et al.
Filed In USPTO: May 28, 2005	T SILING DATE December 1, 1999	GROUP 2614

DOCUMENT					CH (NIO DATE
1	1				FILING DATE
NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
5,699,472	12/16/1997	Ueda	386	46	
6,377,309 B1	04/23/2002	Ito, et al.	348	554	
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		A 171-141 I			
	FOREIG	N PATENT DOCUMENTS	•		
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
8-149466	06/07/1996	Japan			Abstract
8-241068	09/17/1996	Japan			Partial & Abstrac
8-256322	10/01/1996	Japan			Abstract
11-98193	04/09/1999	Japan			Abstract
C	THER DOCUMENT(S) (Incl	uding Author, Title, Date, Pertinent	Pages, Etc.)		
Alexander Erk, Cu Vol. AC360, pp. 1-	ıstomTV Service a 42 (Dec. 8, 1998)	and System Requireme	ents, ACTS F	Project AC3	60, CUSTOMTV
		<u>.</u>			
		DATE CONSIDERED			
	DOCUMENT NUMBER 8-149466 8-241068 8-256322 11-98193	FOREIG DOCUMENT DATE NUMBER 8-149466 06/07/1996 8-241068 09/17/1996 8-256322 10/01/1996 11-98193 04/09/1999 OTHER DOCUMENT(S) (Incl	FOREIGN PATENT DOCUMENTS	FOREIGN PATENT DOCUMENTS CLASS	FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUBCLASS Subclass Subclass Subclass Subclass Country Class Subclass Subcl

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO 1449 (m	odified)		ATTY DOCKET NO. APPLICATION NO.				
	S. DEPARTMENT OF COMMERC TENT AND TRADEMARK OFFIC		00862.0031	55	09/451,870		
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) APPLICANT Masamichi ITO, et al.				al.			
Filed in USPTO: May 26, 2005		FILING DATE December	r 1. 1999	GROUP 2614			
		70	J.S. PATENT DOCUMENTS				
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		TRADEMAN U.S.	ATEN! DOCUMENTS			
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	T	FOREIG	N PATENT DOCUMENTS			
						TRANSLATIO
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES/NO/
	NUMBER					OR ABSTRAC
	6-225219	08/12/1994	Japan			Abstract
	7-203433	08/04/1995	Japan			Abstract
	6-98290	04/08/1994	Japan			Abstract
						
	T	OTHER DOCUMENT(S) (Inc	uding Author, Title, Date, Pertinent Pages, E	tc.)	·	
EXAMINER			DATE CONSIDERED			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.